### Advanced Algorithm Design and Analysis (Lecture 6)

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# **Dynamic Programming**

#### Goals of the lecture:

- to understand the principles of dynamic programming;
- use the examples of computing optimal binary search trees, approximate pattern matching, and coin changing to see how the principles work;
- to be able to apply the dynamic programming algorithm design technique.

# Coin changing

Problem: Change amount A into as few coins as possible, when we have n coin denominations:

denom[1] > denom[2] > ... > denom[n] = 1

For example:

■ *A* = 12, *denom* = [10, 5, 1]

- Greedy algorithm works fine (for this example)
  - Prove greedy choice property
- What if *A* =12, *denom* = [10, 6, 1]?

### Dynamic programming

#### Dynamic programming:

A powerful technique to solve optimization problems

#### Structure:

- To arrive at an optimal solution a number of choices are made
- Each choice generates a number of sub-problems
- Which choice to make is decided by looking at all possible choices and the solutions to sub-problems that each choice generates
  - Compare this with a greedy choice.
- The solution to a specific sub-problem is used many times in the algorithm

# Questions to think about

#### Construction:

- What are the sub-problems? Which parameters define each sub-problem?
- Which choices have to be considered in each step of the algorithm?
- In which order do we have to solve subproblems?
- How are the trivial sub-problems solved?

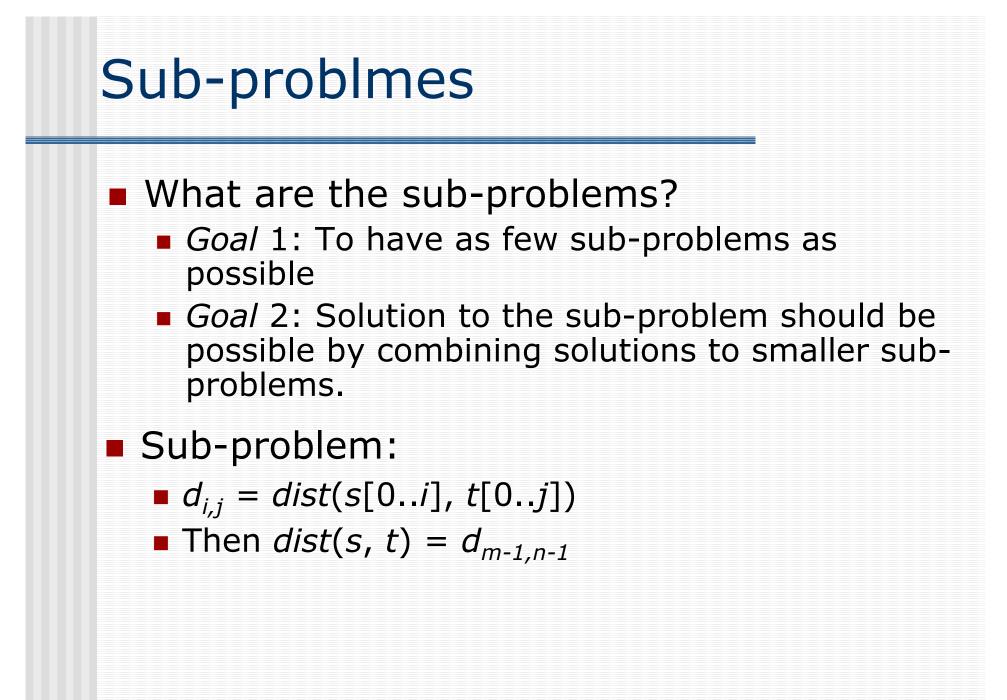
#### Analysis:

- How many different sub-problems are there in total?
- How many choices have to be considered in each step of the algorithm?

### **Edit Distance**

- Problem definition:
  - Two strings: s[0..m-1], and t[0..n-1]
  - Find edit distance dist(s,t) the smallest number of edit operations that turns s into t
  - Edit operations:
    - **Replace** one letter with another
    - Delete one letter
    - Insert one letter

<ul> <li>Example:</li> </ul>	ghost	delete g
	host	insert u
	houst	replace t by e
	house	



# Making a choice

- How can we solve a sub-problem by looking at solutions of smaller subproblems to make a choice?
  - Let's look at the last symbol: s[i] and t[j]. Do whatever is cheaper:
    - If s[i] = t[j], then turn s[0..i-1] to t[0..j-1], else
       replace s[i] by t[j] and turn s[0..i-1] to t[0..j-1]
    - **Delete** *s*[*i*] and turn *s*[0..*i*-1] to *t*[0..*j*]
    - Insert insert t[j] at the end of s[0..i-1] and turn s[0..i] to t[0..j-1]

### Recurrence

$$d_{i,j} = \min \begin{cases} d_{i-1,j-1} + \begin{cases} 0 & \text{if } s[i] = t[j] \\ 1 & \text{else} \end{cases}$$
$$d_{i-1,j} + 1$$
$$d_{i,j-1} + 1$$

- In which order do we have to solve subproblems?
- How do we solve trivial sub-problems?
  - To turn empty string to t[0..j], do j+1 inserts
    To turn s[0..i] to empty string, do i+1 deletes

### Algorithm

**EditDistance**(s[0..m-1], t[0..n-1]) 01 for i = -1 to m-1 do dist[i,-1] = i+1 02 for j = 0 to n-1 do dist[-1,j] = j+1 03 for i = 0 to m-1 do for j = 0 to n-1 do 04 **if** s[i] = t[j] **then** 05 dist[i,j] = min(dist[i-1,j-1], dist[i-1,j]+1, 06 dist[i,j-1]+1) 07 else dist[i,j] = 1 + min(dist[i-1,j-1], dist[i-1,j])08 dist[i,j-1]) 09 **return** dist[m-1,n-1]

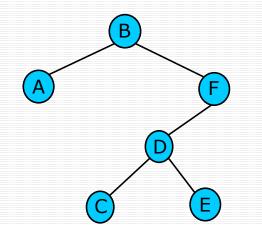
#### What is the running time of this algorithm?

### **Approximate Text Searching**

- Given p[0..m-1], find a sub-string of t (w = t[i,j]), such that dist(p, w) is minimal.
  - Brute-force: compute edit distance between p and all possible sub-strings of t. Running time?
  - What are the sub-problems?
  - $ad_{i,j} = \min\{dist(p[0..i], t[1..j]) \mid 0 \le 1 \le j+1\}$
  - The same recurrence as for  $d_{i,j}$ !
  - The edit distance from p to the best match then is the minimum of ad<sub>m-1,0</sub>, ad<sub>m-1,1</sub>, ..., ad<sub>m-1,n-1</sub>
  - Trivial problems are solved different:
    - Think how.

# **Optimal BST**

- Static database ⇒ the goal is to optimize searches
  - Let's assume all searches are successful



Node ( <i>k<sub>i</sub></i> )	Depth	Probabil ity (p <sub>i</sub> )	Contribu tion
A	1	0.1	0.2
В	0	0.2	0.2
С	3	0.16	0.64
D	2	0.12	0.36
Е	3	0.18	0.72
F	1	0.24	0.48
Total:		1.00	2.6

Expected cost of search in 
$$T = \sum_{i=1}^{n} (depth_T(k_i) + 1) \cdot p_i = 1 + \sum_{i=1}^{n} depth_T(k_i) \cdot p_i$$

# Sub-problems Input: keys $k_1, k_2, \dots, k_n$ Sub-problem options: ■ k<sub>1</sub>, k<sub>2</sub>, ..., k<sub>i</sub> $\bullet$ $k_i, k_{i+1}, \dots, k_n$ • Natural choice: pick as a root $k_r$ ( $1 \le r \le n$ ) • Generates sub-problems: $k_i$ , $k_{i+1}$ , ..., $k_i$ Lets denote the expected search cost e[i,j]. If k<sub>r</sub> is root, then $e(i,j) = p_r + (e[i,r-1] + w(i,r-1)) + (e[r+1,j] + w(r+1,j)),$ where $w(i, j) = \sum_{l=1}^{j} p_l$

### Solving sub-problems

Observe that

$$w(i, j) = w[i, r-1] + p_r + w[r+1, j].$$

Thus,

e(i, j) = e[i, r-1] + e[r+1, j] + w(i, j)

#### How do I solve the trivial problem?

$$e(i,j) = \begin{cases} p_i & \text{if } i = j \\ \min_{i \le r \le j} \{e[i,r-1] + e[r+1,j] + w(i,j)\} & \text{if } i < j \end{cases}$$

# In which order do I have to solve my problems?

# Finishing up

- I can compute w(i,j) using w(i,j-1)
  - $w(i,j) = w(i,j-1) + p_j$
  - An array w[i,j] is filled in parallel with e[i,j] array
- Need one more array to note which root k<sub>r</sub> gave the best solution to (*i*, *j*)-sub-problem
   What is the running time?

### Elements of Dynamic Programming

- Dynamic programming is used for optimization problems
  - A number of choices have to be made to arrive at an optimal solution
  - At each step, consider all possible choices and solutions to sub-problems induced by these choices (compare to greedy algorithms)
  - The order of solving of the sub-problems is important – from smaller to larger
- Usually a table of sub-problem solutions is used

### Elements of Dynamic Programming

- To be sure that the algorithm finds an optimal solution, the optimal sub-structure property has to hold
  - the simple "cut-and-paste" argument usually works,
  - but not always! Longest simple path example no optimal sub-structure!

# Coin Changing: Sub-problems

• 
$$A = 12, denom = [10, 6, 1]?$$
 (10) (6)

- What could be the sub-problems? Described by which parameters?
- How do we solve sub-problems?
  - $c(i,j) = \begin{cases} c(i+1,j) & \text{if } denom[i] > j \\ \min\{c(i+1,j), 1+c(i,j-denom[i])\} & \text{if } denom[i] \le j \end{cases}$
- How do we solve the trivial sub-problems?
   In which order do I have to solve subproblems?